

Analysis Ready Data For Land

Product Family Specification:

Normalised Radar Backscatter

Document Status

For Adoption as: Product Family Specification, Normalised Radar Backscatter

This Specification should next be reviewed on: Q1/2022

Proposed revisions may be provided to: lsi@lists.ceos.org

Document History

Version	Date	Description of Change	Author
0.0.2	23.03.2017	Zero Draft based on materials discussed in and	Lewis
		leading up to LSI-VC-3, provided by SEO and others	
0.1.0	18.04.2017	Various revisions to structure.	Lewis
1.0.0	18.04.2017	Included material provided by Brian Killough/SEO	Lewis
		reflecting input from a range of SAR experts/users.	
1.0.1	20.04.2017	Edits reflecting feedback from SEO, change to the	Lewis, Killough
		figure/table in 'guidance'; removed item 4.2, which	
		appeared redundant; moved reference to definitive	
		ephemeris to a note under item 4.1; added	
		reference to speckle under table 3 (radiometric	
		corrections).	
2.0.0	30.08.2017	Feedback incorporated, circulated to LSI-VC.	Lewis
2.1.0	06.09.2017	Feedback from ESA included.	Lewis
2.1.1	06.09.2017	Edits rolled in.	Lewis
3.0	02.02.2018	Feedback from the teleconference	Siqueira
		(06/12/2018) and post teleconf (emails) Included.	
3.1	03.04.2018	Nuno Miranda (ESA) comments addressed	Siqueira, Miranda
		(uncertainty information to be required at the	
		threshold level – 3.4 Radiometric corrections	
		(Accuracy), split sensor acquisition mode).	
3.1.1	12.04.2018	Ake Rosenqvist (JAXA) comments (split sensor	Siqueira
		acquisition mode into acquisition and processing	
		parameters, include "global incidence angle").	
	04.06.2018	Feedback from Ben Lewis, GA (process table	Siqueira
		update)	
3.2	07.08.2018	Feedback from the "SAR ARD definition Team"	Siqueira
	21.08.2018	before and at IGARSS 2018. Feedback on the 2nd	
		SAR ARD definition Team teleconference	
		(20/08/2018):	Rosenqvist

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14.12.2018	· · · · · · · · · · · · · · · · · · ·	Chapman
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05.02.2019		Rosenqvist,
		Charbonneau &
		Siqueira
		Metzger
02.03.2019	• .	LSI-VC-7
		Bontje
20.12.2019		Rosenqvist, Small,
	meeting.	Chapman, Meyer,
		Lavalle, Miranda,
		Thankappan,
		Tadono, Zhou
11.01.2020	, ,	Small, Rosenqvist,
	·	Charbonneau &
		Zhou
12.01.2020		Small & Rosenqvist
06.02.2020	2.3 Scattering Area Image added	Small, Rosenqvist
		Charbonneau, Yuan,
		Dadamia, Zhou &
40.00.000		Kellndorfer
18.02.2020	,	Rosenqvist
42.02.2020		C II. K. II I C
13.03.2020	Update to 4.4 Gridding Convention	Small, Kellndorfer,
		Rosenqvist &
22.04.2020	Deficition Defined CARRAL Assessment	Charbonneau
23.04.2020	•	Thankappan,
10.05.2020		Rosenqvist, Small, Charbonneau
10.05.2020		Charbonneau
	conversion to Scaling conversion	
12.05.2020	• Items 1.2 & 2.1: Aligned Target requirements	Rosenqvist, Labahn
12.03.2020	· · · · · · · · · · · · · · · · · · ·	Troseriquist, Educariii
		Rosenqvist,
		Chapman, Labahn
12.05.2020	•	
		LSI-VC-9
03.03.2021	Proposed changes after Feb. 2021 telecon	Small, Charbonneau
14.04.2021	Item 1.7.7: Text clarification	Rosenqvist, Logan,
	Item 2.3: Move to Target	Charbonneau, Zhou,
	Item 2.9: Added new per-pixel metadata:	Small, Chapman,
	Resampled DEM (Target)	Kellndorfer, Yuan,
	14.12.2018 05.02.2019 27.05.2019 06.26.2019 20.12.2019 11.01.2020 12.01.2020 18.02.2020 13.03.2020 12.05.2020 12.05.2020 14.04.2021	channel when noise removal is implemented. 05.02.2019 Abstract updated, metadata definition added and v3.2.2 shared with LSI-VC list and LSI-VC-7 participants. 27.05.2019 Formatting and verbiage updated for consistency. 02.03.2019 Version endorsed at LSI-VC7 meeting (14Feb 2019) with minor amendments to address feedback from the SAR Definition Team. 06.26.2019 Added self-assessment columns 11.01.2020 Integrated experiences with polarimetric document and clarified metadata descriptions for source data and products. Metadata specifications as separate document. 12.01.2020 Single-source and Multi-source cases tentatively as separate documents. 06.02.2020 Zingle-source and mage added 18.02.2020 Simple mosaic multi-source case tentatively added to single document. Separate multi-source case still in development and alignment with POL v.2.7 13.03.2020 Update to 4.4 Gridding Convention 23.04.2020 • Definitions: Revised CARD4L Acronym for Interferometric Radar from "IR" to "InSAR" 10.05.2020 • Definitions: Revised CARD4L Acronym for Interferometric Radar from "IR" to "InSAR" 10.05.2020 • Items 1.2 & 2.1: Aligned Target requirements with the SR 4.2 PFS and added NRB Metadata Specification as Target • Document history editorial 12.05.2020 PFS endorsed at LSI-VC-9, meeting #3 03.03.2021 Proposed changes after Feb. 2021 telecon • Item 1.7.7: Text clarification • Item 2.9: Added new per-pixel metadata:

5.3	03.05.2021	 Item 1.6.6: Move source data looks to Target Item 1.7.1: Product Level and Product ID information requirement removed Item 1.7.5: Two corners sufficient Item 2.3, 2.4: move proposed new descriptive text to forthcoming "Educational resources" document 	Repse, Truckenbrodt, Tadono, Albinet Rosenqvist, Logan, Charbonneau, Zhou, Small, Chapman, Kellndorfer, Yuan, Repse, Truckenbrodt, Tadono, Albinet
5.4	20.05.2021 08.08.2021	 Item 4.3: Geolocation accuracy requirement (Threshold) relaxed as per LSI-VC-10 discussions Editorial 	Rosenqvist
5.5.01	31.08.2021 09.09.2021 29.09.2021	 Items 1.6.3, 1.6.5, 1.6.9, 1.7.1, 1.7.6, 2.8, 4.3: Text clarifications Item 1.6.9: Allowing for provision of mean and/or max/min noise level values Item 1.7: Name change "Product Attributes" to "CARD4L Product Attributes" Item 1.7.5: Require same CRS as in 1.7.9 Item: 1.7.6: Format requirement Item 1.7.9: Move EPSG code requirement to Threshold Item 1.7.10: Removed (redundant) Item 2.8: Name change "Acquisition Day" to "Acquisition ID" image. Item 2.9: Name change "Resampled DEM" to "Per-pixel DEM" 4.2: Added specifications of EGM used (Threshold) and methods for resampling of DEM and EGM (Target) 4.3: Absolute localisation error given as bias and standard deviation (Threshold) 4.4: Gridding convention provided as DOI/URL. 	Truckenbrodt, Small
5.5	04.10.2021 14.10.2021	Editorial check	Bontje, Rosenqvist

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Description

Product Family Title: Normalised Radar Backscatter (CARD4L-NRB)

Applies to: Data collected by Synthetic Aperture Radar sensors.

The CARD4L Product Family Specifications for Synthetic Aperture Radar (SAR) data are specifically aimed at users interested in exploring the potential of SAR but who may lack the expertise or facilities for SAR processing. There are (as of September 2021) two CARD4L SAR products endorsed by CEOS LSI-VC, and two under development:

- Normalised Radar Backscatter [endorsed]
- Polarimetric Radar [endorsed]
- Geocoded Single-Look Complex [under development]
- Interferometric Products [under development]

The CARD4L Normalised Radar Backscatter product specification described below has been subject to Radiometric Terrain Correction (RTC) and is provided in the gamma-0 (γ^0) backscatter convention, which mitigates the variations from diverse observation geometries. It is recommended for most land applications. As the NRB product contains backscatter values only, it cannot be directly used for SAR polarimetry or interferometric applications that require local phase estimates.

It should be noted that while speckle is inherent in SAR acquisitions, speckle filtering has not been applied to the Normalised Radar Backscatter product in order to preserve spatial resolution and user freedom. Some applications (or processing methods) may require spatial or temporal filtering for stationary backscatter estimates.

Definitions

CARD4L	CEOS Analysis Ready Data for Land
NRB	Normalised Radar Backscatter
POL	Polarimetric Radar
GSLC	Geocoded Single-Look Complex
InSAR	Interferometric Radar
RTC	Radiometric Terrain Correction
Ancillary Data	Data other than instrument measurements, originating in the instrument itself or from the satellite, required to perform processing of the data. They include orbit data, attitude data, time information, spacecraft engineering data, calibration data, data quality information, and data from other instruments.
Auxiliary Data	The data required for instrument processing, which does not originate in the instrument itself or from the satellite. Some auxiliary data will be generated in the ground segment, whilst other data will be provided from external sources.
Metadata	Structured information that describes other information or information services. With well-defined metadata, users should be able to get basic information about data, without the need to have knowledge about its entire content.
Spatial Resolution	The highest magnification of the sensor at the ground surface.
Spatial Sampling Distance	Spatial sampling distance is the barycentre-to-barycentre distance between adjacent spatial samples on the Earth's surface.

Requirements

General Metadata

These are metadata records describing a distributed collection of pixels. The collection of pixels referred to must be contiguous in space and time. General metadata should allow the user to assess the <u>overall</u> suitability of the dataset, and must meet the following requirements:

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.1	Traceability	Not required.	Data must be traceable to SI reference standard. Note 1. Relationship to 3.4. Traceability requires an estimate of measurement uncertainty. Note 2: Information on traceability should be available in the metadata as a single DOI landing page.	Not required	No	Not provided, not required.	
1.2	Metadata Machine Readability	Metadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component part for further use.	As threshold, but metadata is formatted in accordance with CARD4L NRB Metadata Specifications, v.5.5, or a community endorsed standard that facilitates machinereadability, such as ISO 19115-2	Yes	Yes	In XML format with properties named as in the XLSX guide.	
1.3	Product type	CARD4L product type name and (if required by the data provider) Copyright.	As threshold.	Yes	Yes	Provided under <product>.</product>	
1.4	Document Identifier	Reference to CARD4L- Normalised Radar Backscatter document as URL or DOI.	As threshold.	Yes	Yes	Provided under <documentiden tifier>.</documentiden 	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.5	Data Collection Time	Number of source data acquisitions of the data collection is identified. The start and stop time of data collection is identified in the metadata, expressed in date/time (UTC). In case of composite products, the dates/times of the first and last data takes.	As threshold.	Yes	Yes	Provided under <datacollection Time>. The S1 data is processed per datatake. This indicates how many source products in the datatake were used.</datacollection 	
1.6	Source Data Attributes	Sub-section describing (detailing generate the CARD4L product. Note: Source data attribute inforacquisition and sequentially iden	rmation are described for each				
1.6.1	Source Data Access	The metadata identifies the location from where the source data can be retrieved, expressed as a URL or DOI.	The metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required.	Yes	Yes	Provided under <sourcedatare pository="">. Points to the AWS S3 location where the source data can be accessed.</sourcedatare>	
1.6.2	Instrument	The instrument used to collect the data is identified in the metadata: - Satellite name - Instrument name	As threshold, but including a reference to the relevant CEOS Missions, Instruments and Measurements Database record.	Yes	Yes	Satellite, Instrument and CEOS database link provided.	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.6.3	Source Data Acquisition Time	The start date and time of source data is identified in the metadata, expressed in UTC in date and time, at least to the second.	As threshold.	Yes	Yes	Provided under <sourcedataac quisitionTime>.</sourcedataac 	
1.6.4	Source Data Acquisition Parameters	Acquisition parameters related to the SAR antenna: - Radar band - Centre frequency Observation mode - Polarization(s) - Antenna pointing [Right/Left] - Beam ID	As threshold.	Yes	Yes	Provided under <sourcedataac quisitionParame ters>.</sourcedataac 	
1.6.5	Source Data Orbit Information	Information related to the platform orbit used for data processing: - Pass direction [asc/desc) - Orbit data source [e.g., predicted/definite/ precise/ downlinked etc.]	As threshold, including also: Platform heading angle expressed in degrees [0 360] from North Orbit data file containing state vectors (minimum of 5 state vectors, from 10% of scene length before start time to 10% of scene length after stop time) Platform (mean) altitude	Yes	No	Provided under <orbitinformati on="">. Could be TARGET compliant if the orbit data file name (provided if source is not DOWNLINK) is acceptable instead of the state vectors themselves. The state vectors in the file do extend beyond the scene start/stop time.</orbitinformati>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.6.6	Source Data Processing Information	Processing parameters details of the source data: - Processing facility - Processing date - Software version - Product ID (file name) - Product level - Azimuth number of Looks - Range number of Looks (separate values for each beam, as necessary)	As threshold, Plus additional relevant processing parameters, e.g., Range- and Azimuth Look Bandwidth and LUT applied	Yes	Yes	Provided under <sourceprocpar am="">. Contains THRESHOLD requirements as well as Range and Azimuth look bandwidths.</sourceprocpar>	
1.6.7	Source Data Image Attributes	Image attributes related to the source data: - Source Data geometry (slant range/ground range) - Azimuth pixel spacing - Range pixel spacing - Azimuth resolution - Range resolution - Near range incident angle - Far range incident angle	The geometry of the image footprint expressed in WGS84 in a standardised format (e.g., WKT).	Yes	Yes	Provided under <sourcedatalm ageAttributes>. The image footprint is provided in WGS84 WKT.</sourcedatalm 	
1.6.8	Sensor Calibration	Not required.	Sensor calibration parameters are identified in the metadata or can be accessed using details included in the metadata. Ideally this would support machine to machine access	Not required	Yes	Provided under <sensorcalibrati on>. Contains a link to an ESA webpage with detailed information.</sensorcalibrati 	
1.6.9	Performance Indicators	Provide performance indicators on data intensity noise level (NE σ^0 and/or NE β^0 and/or NE γ^0 (noise equivalent sigma and/or	Provide additional relevant performance indicators (e.g., ENL, PSLR, ISLR etc.)	Yes	Yes	Provided under <performancein dicators>. In addition to</performancein 	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		beta and/or gamma naught)). Provided for each polarization channel when available. Parameter may be expressed as the mean and/or minimum and maximum noise equivalent values of the source data. Values do not need to be estimated individually for each product, but may be estimated once for each acquisition mode, and annotated on all products.				NESZ: ENL, PSLR, ISLR, as well as a link to more detailed information is provided.	
1.6.10	Source Data Polarimetric Calibration Matrices	Not Required.	The complex-valued polarimetric distortion matrices with the channel imbalance and the crosstalk applied for the polarimetric calibration.	Not required	No	Not provided, not required.	
1.6.11	Mean Faraday Rotation Angle	Not Required.	The mean Faraday rotation angle estimated from the polarimetric data and/or from models with reference to the method or paper used to derive the estimate.	Not required	No	Not provided, not required.	
1.6.12	Ionosphere Indicator	Not required.	Flag indicating whether the backscatter imagery is "significantly impacted" by the ionosphere (0- false, 1 – true). Significant impact would imply that the ionospheric impact on the backscatter exceeds the radiometric calibration requirement or goal for the imagery.	Not required	No	Not provided, not required.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.7	CARD4L Product Attributes	Sub-section containing informati generation procedure and paran	on related to the CARD4L product neters				
1.7.1	Product Data Access	Processing parameters details of the CARD4L product: - Processing facility - Processing date - Software version - Location from where the CARD4L product can be retrieved, expressed as a URL or DOI.	The metadata identifies an online location from where the data can be consistently and reliably retrieved by a computer algorithm without any manual intervention being required.	Yes	Yes	Provided under <dataaccess>. Points to the AWS S3 location where the CARD4L data can be accessed.</dataaccess>	
1.7.2	Ancillary Data	Not required.	The metadata identifies the sources of ancillary data used in the generation process, ideally expressed as DOIs. Note: Ancillary data includes DEMs and any additional data sources used in the generation of the product.	Not required	Yes	Provided in <ancillarydata>. Contains information about the DEM and EGM used for processing.</ancillarydata>	
1.7.3	Product Sample Spacing	CARD4L product processing parameters details: - Pixel (column) spacing - Line (row) spacing	As threshold.	Yes	Yes	Provided under <productsampl eSpacing>.</productsampl 	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.7.4	Product Filtering	Flag if filter has been applied [true/false] Metadata should include - Reference to algorithm - Input filtering parameters - Type - Window size - Other filter parameters	As threshold.	Yes	Yes	Provided under <filtering>.</filtering>	
1.7.5	Product Bounding Box	Two opposite corners of the product file (bounding box) are identified, expressed in the coordinate reference system defined in 1.7.9.	As threshold.	Yes	Yes	Provided under <productboundi ngBox>.</productboundi 	
1.7.6	Product Image Extent	The geometry of the image footprint expressed in WGS84, in a standardised format (e.g., WKT).	As threshold.	Yes	Yes	Provided under <productgeogra phicalExtent> in WGS84 WKT.</productgeogra 	
1.7.7	Product Image Size	Image attributes of the CARD4L product: - Number of lines - Number of pixels/line - File header size (if applicable) - Number of no-data border pixels (if appl.)	As threshold.	Yes	Yes	Provided under <productimages ize="">. As Tiff files are used, header size and number of nodata border pixels are not applicable.</productimages>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
1.7.8	Product Pixel Coordinate Convention	Coordinate referring to the Centre, or the Upper Left Corner or the Lower Left Corner of a pixel. Values are [pixel centre, pixel ULC or pixel LLC]	As threshold.	Yes	Yes	Provided under <pixelcoordinat eConvention>.</pixelcoordinat 	
1.7.9	Product Coordinate Reference System	The metadata lists the map projection (or geographical coordinates, if applicable) that has been used and any relevant parameters required to use of data in that map projection, expressed in a standardised format (e.g., WKT). Indicate EPSG code, if defined for the CRS.	As threshold.	Yes	Yes	Provided under <coordinateref erenceSystem>. Both EPSG and WKT are provided.</coordinateref 	

Per-Pixel Metadata

The following minimum metadata specifications apply to each pixel. Whether the metadata are provided in a single record relevant to all pixels, or separately for each pixel, is at the discretion of the data provider. Per-pixel metadata should allow users to <u>discriminate between</u> (choose) observations on the basis of their individual suitability for application

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.1	Metadata Machine Readability	Metadata is provided in a structure that enables a computer algorithm to be used to consistently and automatically identify and extract each component part for further use.	As threshold, but metadata is formatted in accordance with CARD4L NRB Metadata Specifications, v.5.5	Yes	Yes	In XML format with properties named as in the XLSX guide.	
2.2	Data Mask Image	Mask image indicating: - Valid data - Invalid data - No data File format specifications/ contents provided in metadata: - Sample Type [Mask] - Data Format [Raw/GeoTif/COG,] - Data Type [Byte/Int/Float,] - Bits per sample - Byte order - Bit value representation	As threshold, including in addition e.g. - Layover (masked as invalid data in Threshold) - Radar shadow (masked as invalid data in Threshold) - Ocean water, etc.	Yes	Unsure	Provided under <datamask>. Could be TARGET compliant if it is sufficient that radar shadow is masked as invalid data.</datamask>	
2.3	Scattering Area Image	Not required.	DEM-based scattering area image used for terrain normalisation is provided. File format specifications/ contents provided in metadata: - Sample Type [Scattering Area] - Data Format - Data Type - Byte Order	Not required	Yes	Provided under <localcontributin gArea>.</localcontributin 	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
			- Bits per sample				
2.4	Local Incident Angle Image	DEM-based Local Incident angle image is provided. File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format - Data Type - Byte Order - Bits per sample	As threshold.	Yes	Yes	Provided under <localincangle>.</localincangle>	
2.5	Ellipsoidal Incident Angle Image	Not required.	Ellipsoidal incident angle is provided. Indicate which ellipsoidal height was used. File format specifications/ contents provided in metadata: - Sample Type [Angle] - Data Format - Data Type - Byte Order - Bits per sample	Not required	No	Not provided, not required.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.6	Noise Power Image	Not required.	Estimated noise equivalent σ° (or β° or γ° , as applicable) used for Noise Removal, if applied, for each channel. File format specifications/ contents provided in metadata: - Sample Type [NESZ or NEBZ] - Data Format - Data Type - Byte Order - Bits per sample	Not required	No	Not provided, not required.	
2.7	Gamma-to- Sigma Ratio Image	Not required.	Ratio of the integrated area in the Gamma projection over the integrated area in the Sigma projection (ground). Multiplying RTC γ^o by this ratio results in an estimate of RTC σ^o . File format specifications/ contents provided in metadata: - Sample Type [Ratio] - Data Format - Data Type - Byte Order - Bits per sample	Not required	No	Not provided, not required.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.8	Acquisition ID Image	Note: Required for multi- source product only. Acquisition ID, or acquisition date, for each pixel is identified. In case of multi-temporal image stacks, use source acquisition ID (i.e., 1.6 acqID values) to list contributing images. In case of Date, data represent day offset to reference observation date [UTC]. Date used as reference ("Day 0") is provided in the metadata. Pixels not representing a unique date (e.g., pixels averaged in image overlap zones) are flagged with a pre- set pixel value that is provided in the metadata. File format specifications/ contents provided in metadata: - Sample Type [Day, ID] - Data Format - Data Type - Byte Order - Bits per sample	In case of image composites, the sources for each pixel are uniquely identified.	Not required	Not required	Not provided, not required for single source. As only single datatakes are processed this is considered single source.	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
2.9	Per-pixel DEM	Not required.	Provide DEM as used during the geometric and radiometric processing of the SAR data, resampled to an exact geometric match in extent and resolution with the CARD4L SAR image product. File format specifications/ contents provided in metadata: - Sample Type [Height] - Data Format - Data Type - Byte Order - Bits per sample	Not required	No	Not provided, not required.	

Radiometric Terrain Corrected Measurements

The following requirements must be met for all pixels in a collection. The requirements indicate the necessary outcomes and to some degree the minimum steps necessary to be deemed to have achieved those outcomes. Radiometric corrections must lead to normalised measurement(s) of backscatter intensity.

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
3.1	Backscatter Measurements	Terrain-flattened Gamma-Nought backscatter coefficient (\$Y^0_T\$) is provided for each polarization (e.g., HH, HV, VV, VH). File format specifications/contents provided in metadata: - Measurement Type [Gamma-Nought] - Backscatter Expression Convention [linear amplitude or linear power*] - Polarization [HH/HV/VV/VH] - Data Format [Raw/GeoTif/COG,] - Data Type [Byte/Int/Float,] - Byte order - Bits per sample *Note: transformation to the logarithm decibel scale is not required or desired as this step can be easily completed by the user if necessary.	As threshold.	Yes	Yes	Provided under <backscattermea surementdata="">.</backscattermea>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
3.2	Scaling Conversion	Indicate equation to convert from pixel linear amplitude/power to logarithmic decibel scale, including, if applicable, associated calibration (dB offset) factor.	As threshold.	Yes	Yes	Provided under <backscatterconv ersionEq>.</backscatterconv 	
3.3	Noise Removal	Flag if noise removal* has been applied (Y/N). Metadata should include reference to algorithm as URL or DOI * Note: Thermal noise removal and image border noise removal to remove overall scene noise and scene edge artefacts, respectively.	As threshold.	Yes	Yes	Provided under <noiseremoval>.</noiseremoval>	
3.4	Radiometric Terrain Correction Algorithms	Adjustments are made for terrain by modelling the local illuminated reference area using the preferred choice of a traceable published peer reviewed algorithm to produce a radiometrically terrain corrected (RTC) Gamma-Nought (Y _T ⁰). Metadata references: - a citable peer-reviewed algorithm - technical documentation regarding the implementation of that algorithm expressed as URLs or DOIs - the sources of ancillary data used to make corrections.	Require resolution of DEM better than the output product resolution when applying terrain corrections.	Yes	Depends on user	Provided under <radiometricterr raincorrections="">. As the user chooses the output resolution, we cannot guarantee that the DEM resolution exceeds the output resolution. For DE Africa processing this is not the case (30m DEM, 22m processing).</radiometricterr>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
		Note 1: Examples of technical documentation include an Algorithm, Theoretical Basis Document, product user guide, etc.					
3.5	Radiometric Accuracy	Not required.	Uncertainty (e.g., bounds on Υ^0) information is provided as document referenced as URL or DOI. SI traceability is achieved.	Not required	Yes	Provided under <radiometricacc uracy>.</radiometricacc 	

Geometric Terrain Corrections

Geometric corrections must place the measurement accurately on the surface of the Earth (that is, geolocate the measurement) allowing measurements taken through time to be compared.

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
4.1	Geometric Correction Algorithms	Not required	 Metadata references: A metadata citable peerreviewed algorithm, Technical documentation regarding the implementation of that algorithm expressed as URLs or DOIs The sources of ancillary data used to make corrections. Resampling method used for geometric processing of the source data. Note 1: Examples of technical documentation can include e.g., an Algorithm, Theoretical Basis Document, a Product User Guide. 	Not required	Unsure	Provided under <geocorralgori thm=""> and <geocorrmeth od="">. Technical documentation regarding the implementation is not provided (and not present in the XLSX). A peer reviewed algorithm was implemented. The remaining are provided.</geocorrmeth></geocorralgori>	

#	ltem	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
4.2	Digital Elevation Model	 a) During ortho-rectification, the data provider shall use the same DEM that was used for the radiometric terrain flattening to ensure consistency of the data stack. b) Provide reference to Digital Elevation Model used for Geometric Terrain Correction. c) Provide reference to Earth Gravitational Model (EGM) used for Geometric Correction 	 a) A DEM with comparable or better resolution to the resolution of the output CARD4L product shall be used. b) Resampling method used for preparation of the DEM. c) Method used for resampling of EGM. d) As threshold. 	Yes	Depends on user	Provided under <digitalelevationmodel>. As the user chooses the output resolution, we cannot guarantee that the DEM resolution exceeds the output resolution. For DE Africa processing this is not the case (30m DEM, 22m processing).</digitalelevationmodel>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
4.3	Geometric Accuracy	An estimate of the absolute localisation error is provided as bias and standard deviation, provided in slant range/azimuth, or Northing/Easting. Note 1: Can be obtained from corner reflector measurements or mission calibration/validation results. Note 2: Accurate geolocation is a prerequisite to radar processing to correct for terrain. To enable interoperability between radar sensors, absolute accuracy is required.	Output product sub-sample accuracy should be less than or equal to 0.1-pixel radial root mean square error (rRMSE). Provide documentation of estimate of absolute localisation error as DOI or URL.	Yes	Depends on user	Provided under <geocorraccur acy="">. As the user chooses the output resolution, we cannot guarantee that subsample accuracy is <= 0.1. For DE Africa processing it is 0.2.</geocorraccur>	

#	Item	Threshold (Minimum) Requirements	Target (Desired) Requirements	Threshold Self- Assessment	Target Self- Assessment	Self-Assessment Explanation/ Justification	Recommended Requirement Modification
4.4	Gridding Convention	A consistent gridding/sampling frame is used. The origin is chosen to minimise any need for subsequent resampling between multiple products (be they from the same or different providers). This is typically accomplished via a "snap to grid" in relation to the most proximate grid tile in a global system.** ** If a product hierarchy of resolutions exists (or is planned), the multiple resolutions should nest within each other (e.g., 12.5m, 25m, 50m, 100m, etc.), and not be disjoint.	Provide DOI or URL to gridding convention used. When multiple providers share a common map projection, providers are encouraged to standardise the origins of their products among each other. In the case of UTM/UPS coordinates, the upper left corner coordinates should be set to an integer multiple of sample intervals from a 100 km by 100 km grid tile of the Military Grid Reference System's 100k coordinates ("snap to grid"). For products presented in geographic coordinates (latitude and longitude), the origin should be set to an integer multiple of samples in relation to the closest integer degree.	Yes	Yes	Provided under <griddingconve ntion=""> and <gridname>. The exact tiling grid in SHP format can be downloaded at the provided link.</gridname></griddingconve>	

Summary Self-Assessment Table

		Threshold	Target
1	General Metadata		
1.1	Traceability	Not required	No
1.2	Metadata Machine Readability	Yes	Yes
1.3	Product type	Yes	Yes
1.4	Document Identifier	Yes	Yes
1.5	Data Collection Time	Yes	Yes
1.6	Source Data Attributes		
1.6.1	Source Data Access	Yes	Yes
1.6.2	Instrument	Yes	Yes
1.6.3	Source Data Acquisition Time	Yes	Yes
1.6.4	Source Data Acquisition Parameters	Yes	Yes
1.6.5	Source Data Orbit Information	Yes	No
1.6.6	Source Data Processing Information	Yes	Yes
1.6.7	Source Data Image Attributes	Yes	Yes
1.6.8	Sensor Calibration	Yes	Yes
1.6.9	Performance Indicators	Yes	Yes
1.6.10	Source Data Polarimetric Calibration Matrices	Not required	No
1.6.11	Mean Faraday Rotation Angle	Not required	No
1.6.12	Ionosphere Indicator	Not required	No
1.7	CARD4L Product Attributes		
1.7.1	Product Data Access	Yes	Yes
1.7.2	Ancillary Data	Not required	Yes
1.7.3	Product Sample Spacing	Yes	Yes
1.7.4	Product Filtering	Yes	Yes
1.7.5	Product Bounding Box	Yes	Yes
1.7.6	Product Image Extent	Yes	Yes
1.7.7	Product Image Size	Yes	Yes
1.7.8	Product Pixel Coordinate Convention	Yes	Yes
1.7.9	Product Coordinate Reference System	Yes	Yes
2	Per-Pixel Metadata		
2.1	Metadata Machine Readability	Yes	Yes
2.2	Data Mask Image	Yes	Unsure
2.3	Scattering Area Image	Not required	Yes
2.4	Local Incident Angle Image	Yes	Yes
2.5	Ellipsoidal Incident Angle Image	Not required	No
2.6	Noise Power Image	Not required	No
2.7	Gamma-to-Sigma Ratio Image	Not required	No
2.8	Acquisition ID Image	Not required	Yes
2.9	Per-Pixel DEM	Not required	No
3	Radiometric Terrain Corrected Measurements		
3.1	Backscatter Measurements	Yes	Yes
3.2	Scaling Conversion	Yes	Yes
3.3	Noise Removal	Yes	Yes
3.4	Radiometric Terrain Correction Algorithms	Yes	Depends on user
3.5	Radiometric Accuracy	Not required	Yes
4	Geometric Terrain Corrections		
4.1	Geometric Correction Algorithms	Not required	Unsure
4.2	Digital Elevation Model	Yes	Depends on user
4.3	Geometric Accuracy	Yes	Depends on user
4.4	Gridding Convention	Yes	Yes

Guidance

This section aims to provide background and specific information on the processing steps that can be used to achieve analysis ready data. This Guidance material does not replace or over-ride the specifications.

Introduction to CARD4L

What are CEOS Analysis Ready Data for Land (CARD4L) products?

CARD4L products have been processed to a minimum set of requirements and organized into a form that allows immediate analysis with a minimum of additional user effort. These products would be resampled onto a common geometric grid (for a given product) and would provide baseline data for further interoperability both through time and with other datasets.

CARD4L products are intended to be flexible and accessible products suitable for a wide range of users for a wide variety of applications, including particularly time series analysis and multi-sensor application development. They are also intended to support rapid ingestion and exploitation via high-performance computing, cloud computing, and other future data architectures. They may not be suitable for all purposes and are not intended as a 'replacement' for other types of satellite products.

When can a product be called CARD4L?

The CARD4L branding is applied to a particular product once:

- The product has been assessed as meeting CARD4L requirements by the agency responsible for production and distribution of the product.
- The assessment has been peer reviewed by the CEOS Land Surface Imaging Virtual Constellation in consultation with the CEOS Working Group on Calibration and Validation.

Agencies or other entities considering undertaking an assessment process should contact the co-leads of the <u>Land Surface Imaging Virtual Constellation.</u>

A product can continue to use CARD4L branding as long as its generation and distribution remain consistent with the peer-reviewed assessment.

What is the difference between Threshold and Target?

Products that meet all threshold requirements should be immediately useful for scientific analysis or decision-making.

Products that meet target requirements will reduce the overall product uncertainties and enhance broad-scale applications. For example, the products may enhance interoperability or provide increased accuracy through additional corrections that are not reasonable at the *threshold* level.

Target requirements anticipate continuous improvement of methods and evolution of community expectations, which are both normal and inevitable in a developing field. Over time, *target* specifications may (as subject to due process) become accepted as *threshold* requirements.

Reference Papers

The following papers provide scientific and technical guidance:

Hoekman D. and Reiche, J. Multi-model radiometric slope correction of SAR images of complex terrain using a two-stage semi-empirical approach. *Remote Sensing of Environment*, **156** (2015), pp. 1-10. https://doi.org/10.1016/j.rse.2014.08.037

Shimada, M., Itoh, T., Motohka, T., Watanabe, M., Shiraishi, T., Thapa, R., and Lucas, R. New global forest/non-forest maps from ALOS PALSAR data (2007–2010). *Remote Sensing of Environment* **155** (2014) pp. 13–31. https://doi.org/10.1016/j.rse.2014.04.014

Shimada, M. Ortho-Rectification and Slope Correction of SAR Data Using DEM and Its Accuracy Evaluation. *IEEE Journal of Selected Topics in Applied Earth Observations and Remote Sensing*. Dec. 2010, 3(4), pp 657-671. https://doi.org/10.1109/JSTARS.2010.2072984

Small, D., Rohner, C., Miranda, N., Ruetschi, M., & Schaepman, M. E., Wide-Area Analysis-Ready Radar Backscatter Composites, 2021. *IEEE Transactions on Geoscience and Remote Sensing*, 59, 14p. https://doi.org/10.1109/TGRS.2021.3055562

Small D. Flattening Gamma: Radiometric Terrain Correction for SAR Imagery, *IEEE Transactions on Geoscience and Remote Sensing*, 2011, Vol. 49(8), pp. 3081-3093. https://doi.org/10.1109/TGRS.2011.2120616

Small D., Miranda N., Meier E. [2009] (presentation), Local Incidence Angle Considered Harmful, *Proc. of CEOS SAR 2009 Workshop*, Pasadena, California, USA, Nov. 17-19, 2009.

Small D., Miranda N. and Meier E., "A revised radiometric normalisation standard for SAR," *IEEE International Geoscience and Remote Sensing Symposium*, Cape Town, 2009, pp. 566-569. https://doi.org/10.1109/IGARSS.2009.5417439